



1001 G. STREET N.W, ELEVENTH FLOOR WASHINGTON, D.C. 20001

> TEL: 202.824.3000 FAX: 202.824.3001

www.bannerwitcoff.com

BANNER & WITCOFF, LTD. INTELLECTUAL PROPERTY LAW

To:

John Gillon, Jr.

Company:

USPRO

Fax:

1 571 273 0025

Phone:

1 571 272 3282

From:

Sarah A. Kagan

Fax:

1 202 824 3751

Phone:

1 202 824 3151

FAX RECEIVED

JUI 2 6 2006

Re:

COURTESY COPY OF FAX AND RECEIPT SENT TO MAIN NUMBER.

Date Of Transmission: 7/26/2006 1:43:02 PM

Number Of Pages Including This Cover Sheet: 24

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GHICAGO

WASHINGTON, D.C.

BOSTON

PORTLAND, OR

7/26/2006 1:32 PM ΓO: Auto-reply fax to 12028243001 COMPANY:

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Auto-Reply Facsimile Transmission

PAGE



TO:

Fax Sender at 12028243001

Fax Information

Date Received: Total Pages:

7/26/2006 1:25:08 PM [Eastern Daylight Time]

23 (including cover page)

ADVISORY: This is an automatically generated return receipt confirmation of the facsimile transmission received by the Office. Please check to make sure that the number of pages listed as received in Total Pages above matches what was intended to be sent. Applicants are advised to retain this receipt in the unlikely event that proof of this facsimile transmission is necessary. Applicants are also advised to use the certificate of facsimile transmission procedures set forth in 37 CFR 1.8(a) and (b), 37 CFR 1.6(f). Trademark Applicants, also see the Trademark Manual of Examining Procedure (TMEP) section 306 et seq.

Received Cover Page

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7/28/2008 1:25:10 PM PAGE 001/023

1001 G. STREET N.W. ELEVENTH FLOOR WASHINGTON, D.C. 20401

TEL: 202,824,3400 FAX: 202,824,3401

www.banneswitcoff.com

John J. Gillon, Jr. To:

Facc

USPTO 1 571 273 8300 1 571 272 3282 Phone:

From: Sareh A. Kagan 1 202 824 3751

1 202 824 3151

Phone:

COPY OR REQUEST TO WITHDRAW WRONGFUL HOLDING OF ABANDONMENT, WITH ATTACHMENTS, ORIGINALLY FILED BURDOOS

Number Of Pages Including This Cover Sheet 23

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Atty. Docket No.:

001107.00087

Shibin ZHOU et al.

09/776,696 Serial No.:

Group Art Unit:

1636

Filed:

February 6, 2001

Examiner:

TBA

For:

HUMAN FAST-1 GENE

Confirmation No.: 5642

REQUEST TO WITHDRAW HOLDING OF ABANDONMENT

VIA FACSIMILE

703-308-7751 (OIPE) 703-308-6916 (Nancy Johnson, Petitions Attorney)

Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Notice of Abandonment mailed July 7, 2003, Applicants respectfully request that the Commissioner withdraw the holding of abandonment because the application should not have been held abandoned. The Notice of Abandonment indicates that the basic filing fee and surcharge were not paid. Applicants, however, submitted authorizations for payment of these fees with their timely reply to the Notice to File Missing Parts. The fees were authorized in two places: (1) on page 1 of the Response; and (2) in the fee transmittal. A copy of the Response to Notice of Missing Parts including fee transmittal authorizing payment of filing fees, sequence listing, amendment with respect to sequence listing, figures, and date stamped receipt postcard is attached.

1 of 2

PAGE 004/024

Fax Server

Request to Withdraw Holding of Abandonment U.S. Patent Application No. 09/776,696

PATENT

It is believed that no fee is required with this paper, however, the Office may consider this a Petition to Revive and may charge any required fee to Deposit Account 19-0733. If there are any questions, please feel free to contact the undersigned at 202-824-3000.

Respectfully submitted,

Dated: August 19, 2003

Registration No. 32,141

Banner & Witcoff, Ltd. Customer No. 22907

Page 1 of 2



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Offline
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
PARAMETE, Virginis 12313-1450
www.mptu.guv

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TTILE 09/776,696 02/06/2001 Shibin Zhou 01107.00087

22907 **BANNER & WITCOFF** 1001 G STREET N W **SUITE 1100** WASHINGTON, DC 20001

CONFIRMATION NO. 5642 ABANDONMENT/TERMINATION LETTER *OC000000010437283*

Date Mailed: 07/07/2003

NOTICE OF ABANDONMENT UNDER 37 CFR 1.53 (f) OR (g)

The above-identified application is abandoned for failure to timely or properly reply to the Notice to File Missing Parts (Notice) mailed on 09/05/2001.

- The reply received on 10/16/2001 was improper. The reply did not include:
 - The surcharge under 37 CFR 1.16(I) required for filing the basic filing fee or cover sheet on a date later than the filing date of a provisional application.
 - The full amount of the basic filing fee under 37 CFR 1.16(a), (f), (g), or (k). Note: A nonprovisional application may not be relied on for benefits under 35 U.S.C. 120 and 37 CFR 1.78 unless the processing and retention fee set forth in 37 CFR 1.21(I) is paid within the one year period set forth In 37 CFR 1.53(f). A provisional application may not be relied on for benefits under 35 U.S.C. 119(e) and 37 CFR 1.78 unless the basic filing fee is paid.

A petition to the Commissioner under 37 CFR 1.137 may be filed requesting that the application be revived.

Under 37 CFR 1.137(a), a petition requesting the application be revived on the grounds of UNAVOIDABLE DELAY must be filed promptly after the applicant becomes aware of the abandonment and such petition must be accompanied by: (1) an adequate showing of the cause of unavoidable delay; (2) the required reply to the aboveidentified Notice; (3) the petition fee set forth in 37 CFR 1.17(I); and (4) a terminal disclaimer if required by 37 CFR 1.137(d).

Under 37 CFR 1.137(b), a petition requesting the application be revived on the grounds of UNINTENTIONAL DELAY must be filed promptly after applicant becomes aware of the abandonment and such petition must be accompanied by: (1) a statement that the entire delay was unintentional; (2) the required reply to the above-Identified Notice; (3) the petition fee set forth in 37 CFR 1.17(m); and (4) a terminal disclaimer if required by 37 CFR 1.137(d).

Any questions concerning petitions to revive should be directed to "Office of Petitions" at (703) 305-9282.

A copy of this notice MUST be returned with the reply.

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PAGE 006/024

Fax Server

Page 2 of 2

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Application Of: |) Group Art Unit: 1636 |
|-------------------------|--------------------------|
| Shibin ZHOU | BOX: MISSING PARTS |
| Serial No.: 09/776,696 |) |
| Filed: February 6, 2001 |) Docket No. 01107.00087 |
| For: HUMAN FAST-1 GENE |) |

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION UNDER 37 C.F.R. § 1.53(b)

Assistant Commissioner of Patents Washington, D.C. 20231
Attn: Box Missing Parts

Dear Sir:

In response to the Notice to File Missing Parts Of Non-provisional Application, dated September 5, 2001, the Applicant is hereby submitting a Request to Use Computer Readable Form and corrected drawings.

The Commissioner is authorized to charge \$750.00 to Deposit Account No. 19-0733, to cover the following:

\$370.00 - Basic Filing Fee;

\$ 63.00 - 7 total claims over 20;

\$252.00 - 6 independent claims over 3;

\$ 65.00 - Surcharge for late declaration.

U.S. Application No. 09/776,696

Attorney Docket No. 001107.00087 Page 2

It is believed that these fees as calculated are correct. However, if an additional fee is required, please charge Deposit Account No. 19-0733.

Respectfully submitted,

Registration No. 32,141

October 1b, 2001

Banner & Witcoff, LTD. Eleventh Floor 1001 G Street, N.W. Washington, D.C. 2001 (202) 508-9100

423624-1

Page 1 of 2



United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 2023I

www.rzbtoßox

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/776,696

02/06/2001

Shibin Zhou

01107.00087

22907 **BANNER & WITCOFF** 1001 G STREET N W **SUITE 1100** WASHINGTON, DC 20001

CONFIRMATION NO. 5642 FORMALITIES LETTER 医肛门外胚红根管 课题识别使规范围引 OC000000006513112*

Date Mailed: 09/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$303.
 - \$63 for 7 total claims over 20.
 - \$240 for 6 independent claims over 3.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 723.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- The paper or compact disc copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e). Applicant must provide a substitute paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the

Page 2 of 2

application OR a substitute computer readable form (CRF) copy of the "Sequence Listing". These two items must be the same. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21heip@uspto.gov or patin3heip@uspto.gov

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademar* Office: U.S. DEPARTMENT OF COMMERCE collection of Informatic s R displays a valid OMB control number. Under the Paperwork Reduction Act of 19" persons are required to respond to a collection of informatic

7/26/2006 1:43:45 PM

| | Complete if Known | | | | |
|---------------------------------------------|----------------------|------------------|--|--|--|
| FEE TRANSMITTAL | Application Number | 09/776,696 | | | |
| for FY 2002 | Filing Date | February 6, 2001 | | | |
| Potent fees are subject to annual revision. | First Named Inventor | Shibin ZHOU | | | |
| | Examiner Name | TBA | | | |
| | Group / Art Unit | 1638 | | | |
| | | 1 | | | |

| TOTAL AMOU | NT OF PAYM | ENT (\$) | 750.00 | | Attorne | y Docks | t No. | 0011 | 07.00087 | |
|----------------------------|-------------------------|--------------------------------------------------|-------------------------------------------------|----------|-----------------------------|---------------------------|-------------|-----------------|----------------------------------------------------------------------------------|-----------------|
| · · · | METHOD OF PA | YMENT (check | one) | T | FEE CALCULATION (continued) | | | | | |
| 1. 🖾 | | oner is hereby au and credit any ov | thorized to charge er payments to: | | | THONAL Large Entity | | Small Entity | | _ |
| Deposit Account | 19-0733 | | | | Fee Code | Fee (3) | Fee Code | Foe (\$) | Fee Description | Fee Paid |
| Number | | | | | 105 127 | 130 50 | 205 227 | 65 25 | Surcharge - late filling fee or oath Surcharge - late provisional filing fee | 65.00 |
| Deposit | | | | | | | | | or cover sheet. | |
| Account Name | Banner & Witco | र्भी | | 1 1 | 139 | 130 | 139 | 130 | Non-English specification | |
| | Additional Fee R | poutrad | | | 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | $\vdash \vdash$ |
| Under 37 C | FR 1.16 and 1.17 | , · | | ľ | 112 | 920 | 112 | 820° | Requesting publication of SIR prior to Examiner action | |
| See 37 CF | | status. | | | 113 | 1,840* | 113 | 1,640* | Requesting publication of StR after Examiner action | |
| 2. Paymen | l Endosed: | | | 1 | 115 | 110 | 215 | \$ 5 | Extension for reply within first month | |
| ☐ Check | ☐ Credit card | ☐ Maney Order | Other | - 1 | 116 | 400 | 216 | 200 | Extension for reply within second month | |
| | | LCULATION | | | 117 | 920 | 217 | 460 | Extension for reply within third month | |
| 1. BASIC FIL | | LCOLATION | | | 118 | 1,440 | 218 | 720 | Extension for reply within fourth month | |
| Large Entity S | imali Entity | | | 14 | 128 | 1,960 | Z Z8 | 980 | Extension for reply within fifth month | \vdash |
| | | ee Description | | i₁ | 119 | 320 | 219 | 160 | Notice of Appeal | |
| • • | code (S) | | Fee Paid | _ I | 20 | 320 | 220 | 160 | Filing a brief in support of an appeal | |
| | | Atility filing fee | 370.00 | 4 4 | 121 | 280 | 221 | 140 | Request for oral hearing | |
| | | Design filing fee Hant filing fee | | <u> </u> | 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 108 740 2 | 08 370 F | Reissue filing fee | |] ! | 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 114 160 2 | 14 80 F | Provisional filling (| ee |] [| 141 | 1,280 | 241 | 640 | Petition to revive - unintentional | |
| | | *** | | -, li | 142 | 1,260 | 242 | 640 | Utility issue fee (or relesue) | |
| | SUBTOTAL | (1) | (\$) 370.00 | | 143 | 460 | 243 | 230 | Design Issue fee | |
| 2. EXTRA CLAIM | FEES | | | | 144 | 620 | 244 | 310 | Plant Issue fee | |
| | | | e from Fee | Į, | 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| otal Claims 27 | | Claims be | | - I¹ | 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17 (q) | |
| dependent | 3 | 7 X X | 9 = 63 | ╡┞ | 126 | 180 | 126 | 180 | Submission of information Disclosure Strat | |
| talims 9 tuttiple ependent | | ^ | = 0 | ┥╿ | 581 | 40 | 581 | 4 D | Recording each patent assignment per property (times number of properties) | |
| Large Entity | Small Entity | <u> </u> | | _ | 146 | 740 | 246 | 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| Fee Fee Code (\$) | Fee Fee Code (S) | Fee Description | | 1 | 149 | 740 | 249 | 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 103 18 | 203 9 | Claims in exce | | | | | | | | \vdash |
| 102 84 | 202 42 | | aims in excess of 3 | . 1 | 179 | 740 | 279 | 370 | Request for Continued Examination (RCE) | L_ |
| 104 280 109 64 | 204 140 209 42 | ** Reissue inde | dent claim, if not paid ependent claims over | | 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |
| 110 1B | 210 9 | original patent ** Relssue date over original pa | ns in excess of 20 en tent | | Other Se | e (specij | v) | | | |
| • | su | BTOTAL (2) | (\$) 315.00 |] [| | ed by Ba | | n Fee Di | id SUBTOTAL (3) | |
| "or number previous | sly paid, if greater. I | For Reissues, see | above | - | | ~ u v v va | | greere | SUBTOTAL (3) (\$) 65.0 | 20 |

| SUBMITTED BY Complete (if applicable) | | | | | nplete (if applicable) |
|---------------------------------------|----------------|-----------------------------------|--------|-----------|------------------------|
| Name (Print/Type) | Secah A. Kagan | Retristration No. Attorney/Agent) | 32,141 | Telephone | 202-508-9100 |
| Signature | Melal | Ullazz | | Date | October /6, 2001 |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will very depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Application of: |) |
|-------------------------|-----------------------------------|
| Shibin ZHOU |) Group Art Unit: 1636 |
| Serial No. 09/776,696 |) Examiner: TBA |
| Filed: February 6, 2001 |) Attorney Docket No. 01107.00087 |
| For: HUMAN FAST-1 GENE | |

AMENDMENT AND RESPONSE TO NOTICE TO COMPLY WITH SEQUENCE LISTING RULES

Assistant Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

When filing the subject application on 6 February 2001, Applicants submitted a substitute sequence listing in paper form and asked that the computer readable form of the parent application be used for examination. A copy of these papers are attached.

IN THE SPECIFICATION:

Delete pages 29-41 and add the new sequence listing to the end of the application. Renumber pages 42-48 as pages 29-35.

By:

STATEMENT:

The new sequence listing adds no new matter.

Respectfully submitted,

Date: October 16, 2001

Sarah A. Kagan

Registration No. 32,141

BANNER & WITCOFF, LTD. 1001 G Street, N.W., Eleventh Floor Washington, D.C. 20001-4597 (202) 508-9100

423541-1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Application of |) |
|-------------------------|-------------------------------|
| Shibin Zhou et al |) Prior Group Art Unit: 1636 |
| |) Prior Examiner: T. McKelvey |
| Serial No. Unasigned |) |
| Filed: February 7, 2001 |) Atty. Dkt. No. 01107.00087 |
| For: HUMAN FAST-1 GENE | • |

SEQUENCE RULES COMPLIANCE

Assistant Commissioner of Patents & Trademarks Washington, D.C. 20231

Dear Sir:

A paper copy of a substitute sequence listing submitted in parent application U.S. Serial No. 09/113,, 309 is submitted herewith. Please use the computer readable form submitted in the parent application on 18 November 1999 for examination of the subject application.

The content of the two forms, paper and CRF, are believed to be identical.

Respectfully submitted,

02-06-01

Date

BANNER & WITCOFF, LTD. 1001 G Street, N.W. – Eleventh Floor Washington, D.C. 20001-4597 Telephone: 202/508-9100 Sarah A. Kagan Reg. No. 32,141

COPY

SEQUENCE LISTING

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7/26/2006 1:43:45 PM

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7/26/2006 1:43:45 PM

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PAGE 021/024

Fax Server

U.S. Serial No.: 09/776,696 Atty. Dkt. No.: 001107.00087 Inventor: Shibia ZHOU Title: HUMAN FAST-1 GENE

FIG. 1

| hFAST-1 | jgpdsgsrijgpeaespsdpp | 2 |
|---------|------------------------------------------------------------|-----|
| xFAST-1 | grdpsslysgrpagsqyesveppslallssidqeqlpvatgqsynhsvqpw | 5 |
| hFAST-1 | | 2 |
| xFAST-1 | pqpwpplslyreggtwspdrgsmyglspgthegscththegpkdsmagdht | 102 |
| hFAST-1 | KRRKKRYJ KHOKEPYTYJ LAMI ALVI DAAPG RBLKLADIJI ROVDAVEPE | 6 |
| xFAST-1 | rsnkskkinn byykerjsnlanialvionse krlkusoilkevstueej | 153 |
| hFAST-1 | FREDYEGNIKÜS I RIINLSSNRCFRKVPKDPAVOJAKGUF AAVOVSLIIPA EAL | 120 |
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| hFAST-1 | RLDNTALCBrwgngGARGAFAKDLGPYVLHGRPYrppspppppsegfsfiks | 17 |
| xFAST-1 | KLENTALITEGGSDYFVOOLAPYILLINYKYehnagayghqmppshar | 250 |
| hFAST-1 | inggsgegapwpglapgsspvpagtgnsgeeavptpplpsserplwplcpl | 222 |
| xFAST-1 | s] slaedsqqtntggklntsfmidsllhdlqevdlpdasrnlenqrispav | 301 |
| hFAST-1 | pgptrvegetvqggaigpstlspeprawplhllqgtavpggrssgghrasl | 273 |
| xFAST-1 | amnnmwssapllythskptrnarspglstiljstyssssssistispygfqk | 357 |
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| xFAST-1 | densitiksval jvvalpsvil offhfprftyynygpspymtppywgfphpt | 454 |
| hFAST-1 | UDALFOGVPPIKSIYDMVSHPRDLAAPopgw11sw | 362 |
| xFAST-1 | nsggdsprgpqspillinmLRAMPPNKSVrim TSHPCDLVHPsfisqclg | 505 |
| FAST-1 | ds1 | 365 |
| KFAST-1 | ssyspypsrqg1m . | 518 |

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PAGE 022/024

Fax Server

U.S. Serial No.: 09/176,696 Any. Dix. No.: 001107.00087 Inventor: Shibin ZHOU Title: HUMAN FAST-1 GENE

FIG. 2A

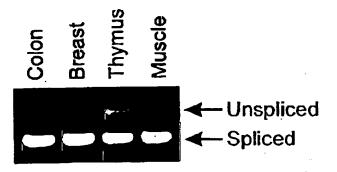
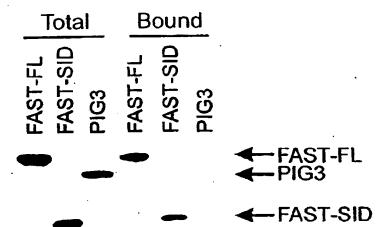
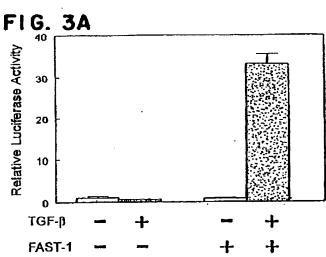
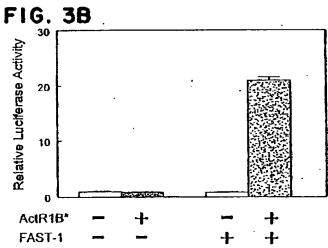


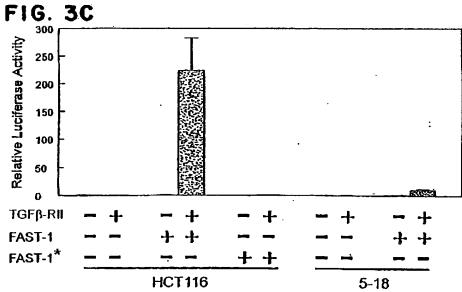
FIG. 2B



U.S. Scrial No.: 09/17/6,696 Any. Dkl. No.: 001107.00087 Inventor: Shibin ZHOU Title: HUMAN FAST-I GENE







| M PATENT DESIGN B&W Ref. 2001/0 D HAND CARRY Group/Section | 7:00087Date 10:14:01 |
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| Serial/Patent No. 09/776 696 | Bldg Bm Bm |
| | _Alry/SecOH/C/N_A |
| Title funan tast-1 Gla | _Client |
| The fall | |
| The following has been received in the U.S. Patent and Tred | emark Office on the date stamped have |
| (# of independent claims); | Amendment Response: OA #4 |
| # of distinct sheets : Figs. : Figs. | Petition for Extension of Time until CPA RCE w/Ext of Time: OA dta |
| - resignification of the control of | A Vedhest IDL Vibraily of Charles Charles |
| ☐ IOS w/PTO 1449 ☐ References ☐ w/Fyle | The Novice of Appeal & Fee De Brief: Appeal & Fee Carlot for Ord Hearing |
| Country | Crissue Fee Advance Patent Copies (# ordered |
| Oale W/Foreign Priority Document(s) | Amendment under 37 CFR 1:312 |
| Application: CIP Confinuation Parent Ser. No | Amendment under 37 CFR 1:312 Request for Certificate of Correction |
| QU.S. Provisional . po Specification . | L. Iransmittal DeFee Transmittel authors and |
| Participante to MSSIng Parts/Reminence | Check # |
| Tresponde to Notice to File Corrected Armin Description | |
| | · · · · |
| ☐ Request for Corrected: ☐ Filing Receipt ☐ Assignment ☐ Response to Restriction/Election Requirement | |
| · | BEW Row, Soil |

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BANNER & WITCOFF, LTD.

1001 G. STREET N.W, ELEVENTH FLOOR WASHINGTON, D.C. 20001

> TEL: 202.824.3000 FAX: 202.824.3001

> > RECEIVED

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www.bannerwitcoff.com

To:

John J. Gillon, Jr.

Company:

USPTO

Fax:

1 571 273 8300

Phone:

1 571 272 3282

From:

Sarah A. Kagan

Fax:

1 202 824 3751

Phone:

1 202 824 3151

Re:

COPY OR REQUEST TO WITHDRAW WRONGFUL HOLDING OF ABANDONMENT, WITH ATTACHMENTS, ORIGINALLY FILED 8/19/2003

Date Of Transmission: 7/26/2006 1:11:30 PM

Number Of Pages Including This Cover Sheet: 23

Important/Confidential: This message is intended only for the use of the individual or entity to whom it is addressed. This message contains information from the law firm of Banner & Witcoff, Ltd. which may be privileged, confidential or exempt from disclosure under applicable law. If the reader of this message is not the intended recipient, you are hereby notified that any dissemination, distribution, retention, archiving, or copying of the communication is strictly prohibited. If you have received this communication in error, please notify us immediately at our telephone number listed above. We will be happy to arrange for the return of this message to our offices at no cost to you.

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Atty. Docket No.:

001107.00087

Shibin ZHOU et al.

09/776,696

Group Art Unit:

1636

Filed:

Serial No.:

February 6, 2001

Examiner:

TBA

For:

HUMAN FAST-1 GENE

Confirmation No.:

5642

REQUEST TO WITHDRAW HOLDING OF ABANDONMENT

VIA FACSIMILE

703-308-7751 (OIPE)

703-308-6916 (Nancy Johnson, Petitions Attorney)

Commissioner of Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In response to the Notice of Abandonment mailed July 7, 2003, Applicants respectfully request that the Commissioner withdraw the holding of abandonment because the application should not have been held abandoned. The Notice of Abandonment indicates that the basic filing fee and surcharge were not paid. Applicants, however, submitted authorizations for payment of these fees with their timely reply to the Notice to File Missing Parts. The fees were authorized in two places: (1) on page 1 of the Response; and (2) in the fee transmittal. A copy of the Response to Notice of Missing Parts including fee transmittal authorizing payment of filing fees, sequence listing, amendment with respect to sequence listing, figures, and date stamped receipt postcard is attached.

1 of 2

Request to Withdraw Holding of Abandonment U.S. Patent Application No. 09/776,696

PATENT

It is believed that no fee is required with this paper, however, the Office may consider this a Petition to Revive and may charge any required fee to Deposit Account 19-0733. If there are any questions, please feel free to contact the undersigned at 202-824-3000.

Respectfully submitted,

Dated: August 19, 2003

Registration No. 32,141

Banner & Witcoff, Ltd. Customer No. 22907

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Page 1 of 2



United States Patent and Trademark Office

7/26/2006 1:25:10 PM

UNITED STATES DEPARTMENT OF COMMERCE United States Putent and Trademark Office Address COMMISSIONER OF PATENTS AND TRADEMARKS P.G. DOX 1450 Alexandra, Vincius 22313-1450 www.unjungov

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE

09/776,696

02/06/2001

Shibin Zhou

01107.00087

22907 **BANNER & WITCOFF** 1001 G STREET N W **SUITE 1100** WASHINGTON, DC 20001

CONFIRMATION NO. 5642 ABANDONMENT/TERMINATION **LETTER** OC000000010437283*

Date Mailed: 07/07/2003

NOTICE OF ABANDONMENT UNDER 37 CFR 1.53 (f) OR (g)

The above-identified application is abandoned for failure to timely or properly reply to the Notice to File Missing Parts (Notice) mailed on 09/05/2001.

- The reply received on 10/16/2001 was improper. The reply did not include:
 - The surcharge under 37 CFR 1.16(I) required for filing the basic filing fee or cover sheet on a date later than the filing date of a provisional application.
 - The full amount of the basic filing fee under 37 CFR 1.16(a), (f), (g), or (k). Note: A nonprovisional application may not be relied on for benefits under 35 U.S.C. 120 and 37 CFR 1.78 unless the processing and retention fee set forth in 37 CFR 1.21(I) is paid within the one year period set forth in 37 CFR 1.53(f). A provisional application may not be relied on for benefits under 35 U.S.C. 119(e) and 37 CFR 1.78 unless the basic filing fee is paid.

A petition to the Commissioner under 37 CFR 1.137 may be filed requesting that the application be revived.

Under 37 CFR 1.137(a), a petition requesting the application be revived on the grounds of UNAVOIDABLE DELAY must be filed promptly after the applicant becomes aware of the abandonment and such petition must be accompanied by: (1) an adequate showing of the cause of unavoidable delay; (2) the required reply to the aboveidentified Notice; (3) the petition fee set forth in 37 CFR 1.17(I); and (4) a terminal disclaimer if required by 37 CFR 1.137(d).

Under 37 CFR 1.137(b), a petition requesting the application be revived on the grounds of UNINTENTIONAL DELAY must be filed promptly after applicant becomes aware of the abandonment and such petition must be accompanied by: (1) a statement that the entire delay was unintentional; (2) the required reply to the aboveidentified Notice; (3) the petition fee set forth in 37 CFR 1.17(m); and (4) a terminal disclaimer if required by 37 CFR 1.137(d).

Any questions concerning petitions to revive should be directed to "Office of Petitions" at (703) 305-9282.

A copy of this notice MUST be returned with the reply.

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Page 2 of 2

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Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Application Of: |) Group Art Unit: 1636 |
|-------------------------|--------------------------|
| Shibin ZHOU |) BOX: MISSING PARTS |
| Serial No.: 09/776,696 |) |
| Filed: February 6, 2001 |) Docket No. 01107.00087 |
| For: HUMAN FAST-1 GENE |) |

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION UNDER 37 C.F.R. § 1.53(b)

Assistant Commissioner of Patents

Washington, D.C. 20231 Attn: Box Missing Parts

Dear Sir:

In response to the Notice to File Missing Parts Of Non-provisional Application, dated September 5, 2001, the Applicant is hereby submitting a Request to Use Computer Readable Form and corrected drawings.

The Commissioner is authorized to charge \$750.00 to Deposit Account No. 19-0733, to cover the following:

\$370.00 - Basic Filing Fee;

\$ 63.00 - 7 total claims over 20;

\$252.00 - 6 independent claims over 3;

\$ 65.00 - Surcharge for late declaration.

U.S. Application No. 09/776,696

Attorney Docket No. 001107.00087 Page 2

It is believed that these fees as calculated are correct. However, if an additional fee is required, please charge Deposit Account No. 19-0733.

By:

Respectfully submitted,

Registration No. 32,141

October 1b, 2001

Banner & Witcoff, LTD. Eleventh Floor 1001 G Street, N.W. Washington, D.C. 2001 (202) 508-9100

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| In re Application of: |) |
|-------------------------|-----------------------------------|
| Shibin ZHOU | Group Art Unit: 1636 |
| Serial No. 09/776,696 |) Examiner: TBA |
| Filed: February 6, 2001 |) Attorney Docket No. 01107.00087 |
| For: HUMAN FAST-1 GENE | |

AMENDMENT AND RESPONSE TO NOTICE TO COMPLY WITH SEQUENCE LISTING RULES

Assistant Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

When filing the subject application on 6 February 2001, Applicants submitted a substitute sequence listing in paper form and asked that the computer readable form of the parent application be used for examination. A copy of these papers are attached.

IN THE SPECIFICATION:

Delete pages 29-41 and add the new sequence listing to the end of the application. Renumber pages 42-48 as pages 29-35.

STATEMENT:

The new sequence listing adds no new matter.

Respectfully submitted,

Date: October 16, 2001

By:

Registration No. 32,141

BANNER & WITCOFF, LTD. 1001 G Street, N.W., Eleventh Floor Washington, D.C. 20001-4597 (202) 508-9100

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Application of |) |
|-------------------------|-------------------------------|
| Shibin Zhou et al |) Prior Group Art Unit: 1636 |
| o' tar viscos |) Prior Examiner: T. McKelvey |
| Serial No. Unasigned |) |
| Filed: February 7, 2001 |) Atty. Dkt. No. 01107.00087 |
| For: HUMAN FAST-1 GENE | • |

SEQUENCE RULES COMPLIANCE

Assistant Commissioner of Patents & Trademarks Washington, D.C. 20231

Dear Sir:

A paper copy of a substitute sequence listing submitted in parent application U.S. Serial No. 09/113,, 309 is submitted herewith. Please use the computer readable form submitted in the parent application on 18 November 1999 for examination of the subject application.

The content of the two forms, paper and CRF, are believed to be identical.

Respectfully submitted,

 \sim

Sarah A. Kagan Reg. No. 32,141

BANNER & WITCOFF, LTD. 1001 G Street, N.W. - Eleventh Floor Washington, D.C. 20001-4597 Telephone: 202/508-9100

SEQUENCE LISTING

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Zawel, Leigh
Vogelstein, Bert
Kinzler, Kenneth
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. 355 Lys Arg Ser Arg Glu Asp Asp Asp Cys Ser Thr Thr Ser Ser Asp Pro Asp Thr Gly Asn Tyr Ser Pro Ile Glu Pro Pro Lys Lys Met Pro Leu Leu Ser Leu Asp Leu Pro Thr Ser Tyr Thr Lys Ser Val Ala Pro Asn Val Val Ala Pro Pro Ser Val Leu Pro Phe Phe His Phe Pro Arg Phe Thr Tyr Tyr Asn Tyr Gly Pro Ser Pro Tyr Met Thr Pro Pro Tyr Trp Gly Phe Pro His Pro Thr Asn Ser Gly Gly Asp Ser Pro Arg Gly Pro Gln Ser Pro Leu Asp Leu Asp Asn Met Leu Arg Ala Met Pro Pro Asn Lys Ser Val Phe Asp Val Leu Thr Ser His Pro Gly Asp Leu Val His Pro Ser Phe Leu Ser Gln Cys Leu Gly Ser Ser Gly Ser Pro Tyr Pro Ser Arg Gln Gly Leu Met

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FIG. 1

| hFAST-1 | fgpdsgsr1gppeaespsqpp | 21 |
|---------|-----------------------------------------------------------------|------|
| xFAST-1 | grdpsslysgfpagsqyesveppslallssidgeqlpvatgqsynhsvqpw | 51 |
| hFAST-1 | | 21 |
| xFAST-1 | pqpwpplslyreggtwspdrgsmyglspgthegscththegpkdsmagdht | 102 |
| hFAST-1 | KRRKKKKY KHOKEEYKYLAMIALVIIQAAESKYLKLAQIIIROVQAVEEY | 69 |
| xFAST-1 | rsnkskkny) fry yk prysijlania ly ignspektik kustiji ketystu pri | 153 |
| hFAST-1 | FREDYEGYKUSTRIJILSSNRCFRKVPKDPAKPYJAKCHFJAMOVSLTIPAEAL | 120 |
| xFAST-1 | FNGDYMGAKOS IRIINLSSS OCTAKI LKOPGKO DANGAFNIMOV SHIPL DAM | 204 |
| hFAST-1 | RLINTALCRI wonggargafakilgpyvlygrpyrppspppppseg fsi ks | 171 |
| xFAST-1 | KLINTALITYGGSDYFVQQLAPYILLHNYKYehnagayghqmppshar | 250 |
| hFAST-1 | llggsgegapwpglapqsspvpagtgnsgeeavptpplpsserplwplcpl | 222 |
| xFAST-1 | s] s aedsqqtntggk ntsfmids hd qeyd fdasrnlenqrispav | 301 |
| hFAST-1 | pgptrvegetvqggaigpstlspeprawplpllqgtavpggrssgghrasl | 273 |
| xFAST-1 | amnnmwssapllythskotrnarspylstilstyssssssistispygfqk | 3,52 |
| hFAST-1 | wgq | 276 |
| xFAST-1 | eqeksgrqtqrvghpikrsredddcsttssdpdtgnyspieppkkmpllsl | 403 |
| hFAST-1 | - PTSMLP1YTENVMP1appptscpqcpstspaywgvapetrgppg11c- | 325 |
| xFAST-1 | dLETS/TKSYAFAVVAFpsv163ffhfprftyynygpspymtppywgfphpt | 454 |
| hFAST-1 | TITALFOGVPPNKSTYDNAVSHPRDIAAPppgwiisw | 362 |
| xFAST-1 | nsggdsprgpqsp11110MLRAMPPNKSVF1MLTSH7GD1VHPsf1sqc1g | 505 |
| hFAST-1 | ds | 365 |
| xFAST-1 | skipspypsrgg1m . | 518 |

U.S. Serial No.: 09/776,696 Any. Dkt. No.: 001107.00087 Inventor: Shibin ZHOU Title: HUMAN FAST-I GENE

FIG. 2A

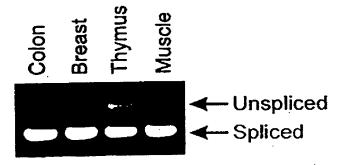
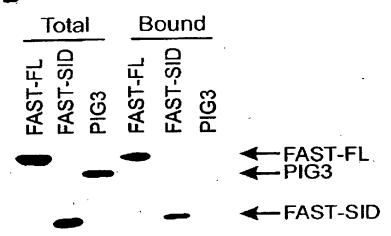
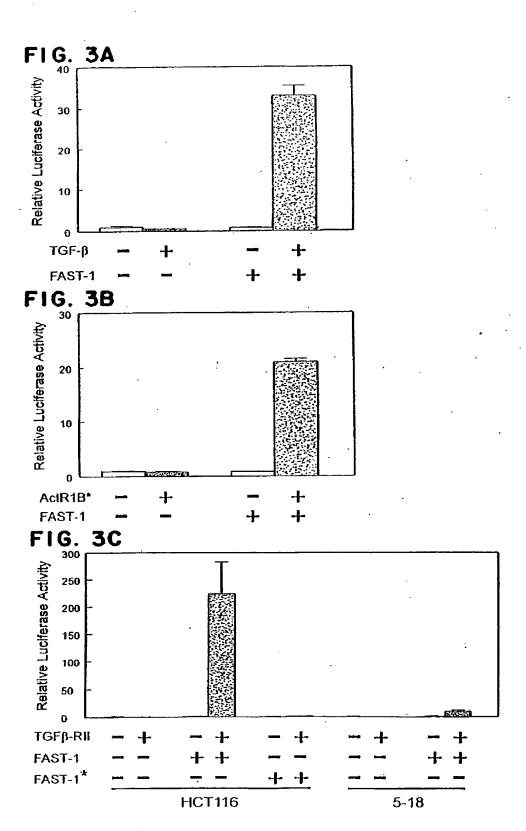


FIG. 2B



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| for FY 2002 | | | Faharan 6 2004 | | HEC Pary 6, 2001 CENTRAL | EIVED PAX CEI | |
| | | First | lamed In | ventor | Shibi | n ZHOU | THU |
| Patent fees are subject t | o annual revision. | | iner Nam | | TBA | 1111 2 | 6 200 |
| , 3,5,11,7555 5,75 522,7541 | | <u></u> | | | | | |
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| Account 19-0733 | | Code 105 | (\$) 130 | 205 | (\$) 65 | Surcharge - late filing fee or oath | 65.00 |
| Number | | J 100 | 50 | 203 | 25 | Surcharge - late provisional filing fee | |
| Deposit | | 7 ľ | | | | or cover sheet. | |
| Account Banner & Witcoff | | 139 | 130 | 139 | 130 | Non-English specification | |
| Name | | | 2,520 | 147 | 2,520 | For filing a request for reexamination | |
| | | 112 | 920" | 112 | 920° | Requesting publication of SIR prior to Examiner action | |
| Applicant claims small entity status See 37 CFR 1.27 | k | 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
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| Large Entity Small Entity | | 128 | 1,960 | 228 | 980 | Extension for reply within fifth month | |
| | escription | 119 | 320 | 219 | 160 | Notice of Appeal | |
| Code (\$) Code (\$) | Fee Pak | d 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | |
| | ding fee 370.00 | 121 | 280 | 221 | 140 | Request for oral hearing | |
| - | lifing fee | 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 108 740 208 370 Reissu | e filing fee | 140 | 110 | 240 | 65 | Petition to revive – unavoidable | |
| 114 160 214 80 Provisi | onal filling fee | 141 | 1,280 | 241 | 640 | Petition to revive - unintentional | |
| | | | 1,280 | 242 | 640 | Utility issue fee (or reissue) | |
| SUBTOTAL (1) | (\$) 370.0 | 143 | 460 | 243 | 230 | Design issue fee | |
| 2. EXTRA CLAIM FEES | | 144 | 620 | 244 | 310 | Plant Issue fee | |
| z, extrocedim rees Extra | Fee from Fee | 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| Clain | s below Paid | 1 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17 (q) | |
| otal Claims 27 -20 ** = 7 | X 9 = 63 | 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| laims 9 3" = 6 | X 42 = 252 X = 0 | 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| ependent Large Entity Small Entity | " <u> </u> | 146 | 740 | 246 | 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| For For For | Description | 149 | 740 | 249 | 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 103 18 203 9 Cla | ims in excess of 20 | 179 | 740 | 279 | 370 | Request for Continued Examination (RCE) | |
| | ependent claims in excess of 3 | ' l' | | | | | |
| | tiple dependent claim, if not pa | | 900 | 169 | 900 | Request for expedited examination of a design application | 1 1 |
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| *** 19 210 0 **F | telssue claims in excess of 20 : r original patent | | | | | | |
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| or number previously paid, if greater, For Ri | <u> </u> | - Redu | ced by Ba | sic Filin | g Fee P | aid SUBTOTAL (3) (\$) 65. | .00 |

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|-------------------|----------------|----------------------------------|--------------------------|-----------|------------------|
| Name (Print/Type) | Şerəh A. Kagan | Rehistration No. Attorney/Agent) | 32,141 | Telephone | 202-508-9100 |
| Signature | Mich | Maz | | Date | October /6. 2001 |

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FILING/RECEIPT DATE APPLICATION NUMBER FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER 09/776.696 02/06/2001 Shibin Zhou 01107.00087

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FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

07/27/2006

The statutory basic filing fee is missing.

Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- Total additional claim fee(s) for this application is \$303.
 - \$63 for 7 total claims over 20.
 - \$240 for 6 independent claims over 3.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 723.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- The paper or compact disc copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e). Applicant must provide a substitute paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the

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PAGE 8/23 * RCVD AT 7/26/2006 1:25:08 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-6/30 * DNIS:2738300 * CSID:12028243001 * DURATION (mm-ss):06-26

application OR a substitute computer readable form (CRF) copy of the "Sequence Listing". These two items must be the same. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 QG 145 (September 19, 2000).

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